CALIFORNIA ENERGY COMMISSION

1516 NINTH STREET SACRAMENTO, CA 95814-5512 www.energy.ca.gov



NOTICE OF PROPOSED AWARD (NOPA)

Federal Cost Share Under the Electric Program Investment Charge Grant Solicitation PON-14-308 January 7, 2015 Amended January 13, 2015

On November 4, 2014, the California Energy Commission's Electric Program Investment Charge (EPIC) Program released a Program Opportunity Notice (PON) titled "Federal Cost Share Under the Electric Program Investment Charge" (PON-14-308). The PON announced that \$10,000,000 in grant funding was available to provide cost-share funding to applicants that apply for and receive an award under an eligible federal Funding Opportunity Announcement (FOA) listed in Tables 1 or 2 of the solicitation manual for the following areas:

- 1. Applied Research and Development activities that support pre-commercial technologies and approaches that are designed to solve specific problems in the electricity sector. This includes pilot-scale testing activities that are necessary to demonstrate the feasibility of pre-commercial technologies.
- 2. Technology Demonstration and Deployment activities that involve the installation and operation of pre-commercial technologies or strategies at a scale sufficiently large and in conditions sufficiently reflective of anticipated actual operating environments to enable appraisal of operational and performance characteristics, and of financial risks.

The solicitation was structured as a two-stage solicitation process. Applicants who passed the Stage One proposal process will receive a Letter of Intent that can be submitted with its federal application. In Stage 2, applicants who receive the federal award will submit a final proposal. This will involve a final screening and scoring process that takes into consideration the budget and scope of work for the federally-funded project. If the proposal passes Stage Two, it will receive funding from the Energy Commission.

The Energy Commission received one proposal by the due date of December 4, 2014 for FOA DE-FOA-0001171: Solid- State Lighting Advanced Technology Research and Development. The proposal was screened, reviewed, evaluated, and scored using the criteria described in the PON. The attached table entitled "Notice of Proposed Awards and Results of Submitted Proposals" identifies the results of the evaluation committee, scoring information and recommended funding level. Funding is contingent on the applicant being successful in Stage Two and on approval of the project at a publicly noticed Business Meeting at the Energy Commission in Sacramento, California, and execution of a grant agreement. If the Commission is unable to negotiate and execute an agreement with an Applicant in a timely manner, the Commission, at its sole discretion, reserves the right to cancel the pending award and shift the available funds. The Energy Commission also reserves the right to

Notice of Proposed Award Page Two

allocate any additional funds to passing applications, in rank order, if further funding becomes available. Applicants will be notified of any such changes in a revised notice.

This notice is being mailed to all parties who submitted a proposal to this solicitation and is also posted on the Energy Commission's website at: http://www.energy.ca.gov/contracts.

For further information about this matter, contact Sandra Raymos at (916) 654-4584, Sandra.Raymos@energy.ca.gov.

California Energy Commission PON-14-308

Notice of Proposed Awards and Results of Submitted Proposals

Federal Cost Share Under the Electric Program Investment Charge





January 7, 2015 Amended January 13, 2015

Rank	Prime Applicant	Title	EPIC Funds Requested	EPIC Funds Recommended	Federal Funds Requested	Score	Award Status
Proposed Awards - DE-FOA-0001171: Solid State Lighting Advanced Technology Research and Development							
1	Philips	High-Throughput LED Quality Screening Method And Equipment	\$700,000	\$350,000** \$700,000	\$1,400,000	81.75	Awardee- Receive Letter of Intent
Total Funding Recommended*			\$700,000	\$350,000- \$ 700,000	\$1,400,000		

^{*} All California Energy Commission awards identified in this NOPA are contingent on the applicant receiving a federal award and meeting the requirements in the Solicitation manual.

^{**} The cost share requirement of DE-FOA-0001171 is 50 percent of the federal funds requested total project costs. Per the solicitation manual for PON-14-308 applicants may request up to 50 percent of the cost share amount required by the FOA. As a result, the maximum amount of cost share offered for this FOA is 25 percent of the federal funds requested.